

Serial No. 09/870,567

COPY OF PAPERS  
ORIGINALLY FILED

#6 2834  
Docket No. RD-26,121/USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GERALD B. KLIMAN, ET AL

Group Art Unit: 2834

Serial No.: 09/870,567

Examiner: T. NGUYEN

Filed: June 1, 2001

For SLIP RING BRUSH ASSEMBLY AND METHOD

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

- ☐ 1 Pursuant to 37 CFR 1.97(b)  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge
- ☒ 2 Certification Pursuant to 37 CFR 1.97(c)(1)  
[before Final Office Action, Allowance, or other action closing prosecution] no charge
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)  
[before Final Office Action, Allowance, or other action closing prosecution] \$180.00
- ☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)  
[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION  
Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

6 Sept 2002  
Date of Deposit  
Ann M. Agostini  
Type or Print Name  
Ann M. Agostini  
Signature

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-26,121/USA

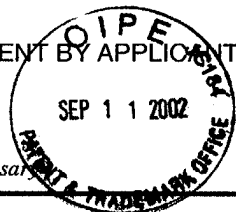
SERIAL NO.

09/870,567

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)



Applicant

GERALD B. KLIMAN, ET AL

Filing Date

06/01/01

Group

2834

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	7 8 8 0 4 9	04/24/05	JOHNSON			
AB	3 0 6 0 3 9 7	10/23/62	MIMS, ET AL			
AC	3 9 0 3 4 4 2	09/02/75	KRULLS			
AD	6 2 8 1 6 1 0	08/28/01	KLIMAN, ET AL			
AE	3 3 7 5 4 7 9	11/2/95	LOWE, E.G.			
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES No
AL	9 3 7 2 4 4	12/29/55	GERMANY			X
AM	1 2 4 7 4 6 1	08/17/67	GERMANY			X
AN						
AO						
AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	
AS	
AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


Serial No. 09/870,567

Docket No. RD-26,121/USA

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



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19 August 2002

Date

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